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ATTORNEY'S DOCKET NUMBER: 0492611-0392 (MIT-9128)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anderson *et al.* Examiner:
Serial No: 09/803,319 Art Unit: 1615
Filing Date: March 9, 2001
Title: Uses and Methods of Making Microarrays of Polymeric Biomaterials

Assistant Commissioner for Patents
Box Missing Parts
Washington, DC 20231

Sir:

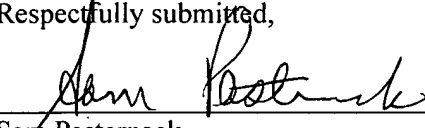
TRANSMITTAL LETTER

Enclosed are the following documents:

1. Notice to File Missing Parts of Nonprovisional Application
2. Request for Extension of Time;
3. Preliminary Amendment to Comply with 37 CFR 1.84;
4. Executed Written Assertion of Small Entity Status;
5. Executed Appointment of Attorney;
6. Three Executed Declarations;
7. One Sheet of substitute Drawings;
8. Check in the amount of \$65.00;
9. Check in the amount of \$195.00; and
10. Return Postcard

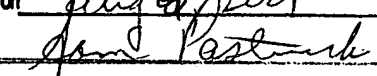
If any additional fees are required to be paid or if any overpayment has been made, please charge same to Deposit Account No. 03-1721.

Respectfully submitted,


Sam Pasternack
Registration No. 29,576

CHOATE, HALL & STEWART
Exchange Place
53 State Street
Boston, MA 02109
(617) 248-5000
Dated: August 9, 2001

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231
on Aug 9, 2001


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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/803,319	03/09/2001	Daniel G. Anderson	0492611-0392

CONFIRMATION NO. 5731

FORMALITIES LETTER



OC000000005986250

Sam Pasternack
Choate, Hall & Stewart
53 State Street
Boston, MA 02109

Date Mailed: 04/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice **MUST** be returned with the reply.*

08/15/2001 BSAYASI1 00000083 09803319

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65.00 OP

MTB

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



ATTORNEY DOCKET NO.: 0492611-0392 (MIT 9128)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Anderson, *et al.*

Serial No. : 09/803,319

Filing Date : March 9, 2001

Title : USES AND METHODS OF MAKING MICROARRAYS OF POLYMERIC BIOMATERIALS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

WRITTEN ASSERTION OF SMALL ENTITY STATUS
UNDER 37 CFR §1.27(a)(3)
NON-PROFIT ORGANIZATION

The undersigned hereby asserts entitlement to small entity status as a non-profit organization under 37 CFR §1.27(a)(3) on behalf of Massachusetts Institute of Technology.

This assertion is made on the ground that Massachusetts Institute of Technology is either:

(a) a university or other institution of higher education located in any country;

(b) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 USC §501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 USC §501(a));

(c) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 USC §201(i)); or

(d) any nonprofit organization, located in a foreign country, that would qualify as a nonprofit organization under paragraphs (b) or (c) above if it were located in this country,

and *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27.

Respectfully Submitted,

Name: JOHN H. TURNER, JR.
Title: ASSOCIATE DIRECTOR
Date: TECHNOLOGY LICENSING OFFICE

8 May 2001

CHOATE, HALL & STEWART
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